### How to train a Large Language Model from Scratch

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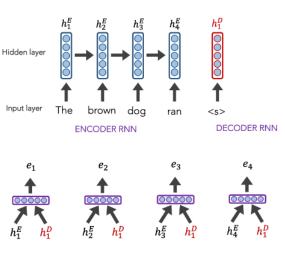
### Outline

- 1 Attention in Seq2Seq
- 2 Self-Attention
- **③** Transformer Architecture
- 4 Model Architectures
- **5** Attention masks
- 6 Training Objectives
- Pros & Cons of Training Objectives
- 8 Unifying Language Learning Paradigms (UL2)
- What Language Model Architecture and Pre-training Objective Work Best for Zero-Shot Generalization

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# Attention in Seq2Seq (1)

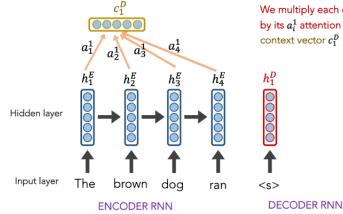
- Input sequence X, encoder  $f_{enc}$ , decoder  $f_{dec}$
- $f_{enc}(X)$  produces hidden states  $h_1^E, ..., h_N^E$
- On time step t, have decoder hidden state  $h_t^D$
- Attention score:  $e_i = h_t^{D^{\mathsf{T}}} h_i^E$
- Attention distribution:  $a_i^t = \frac{\exp(e_i)}{\sum_i^N \exp(e_i)}$



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## Attention in Seq2Seq(2)



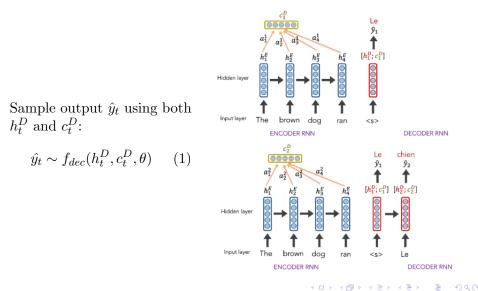
We multiply each encoder's hidden layer by its  $a_i^1$  attention weights to create a context vector  $c_1^D$ 

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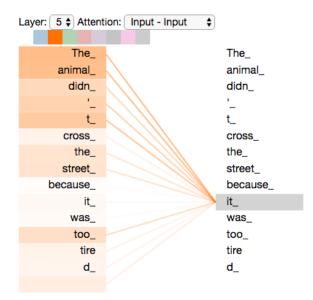
### Attention in Seq2Seq (3)



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## Self-Attention (1)



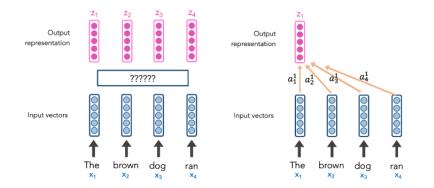
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# Self-Attention (2)



- Self-Attention's goal is to create great representations,  $z_i$  of the input
- $z_i$  is based on a weighted contribution of each token in the input

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### Self-Attention (3)

- Given the input sequence:  $x_1, x_2, ..., x_n$
- Each word  $x_i$  has 3 associated vectors: Query vector  $q_i$ , Key vector  $k_i$ , Value vector  $v_i$ :

$$q_i = w_q x_i$$
$$k_i = w_k x_i$$
$$v_i = w_v x_i$$

• For word  $x_i$ , let's calculate the scores  $s_i^1, ..., s_i^n$  which represent how much attention to pay to each respective  $v_i$ :

$$s_i^j = q_i k_j \tag{2}$$

where j = 1,...,n
Let's divide s<sup>j</sup><sub>i</sub> by √d where d is the dimension of k<sub>i</sub> and softmax it:

$$a_i^j = softmax(\frac{s_i^j}{\sqrt{d}}) \tag{3}$$

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• Compute  $z_i$  as the following:

$$z_i = \sum_{j=1}^n a_i^j v_j \tag{4}$$

Self-Attention is powerful that allows to create context-aware representations.

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# Transformer Architecture (1)

- The encoder maps an input sequence of symbol representations (x<sub>1</sub>,...,x<sub>n</sub>) to a sequence of continuous representations z = (z<sub>1</sub>,...,z<sub>n</sub>)
- Given  $\mathbf{z}$ , the decoder then generates an output sequence  $(y_1, ..., y_m)$  of symbols one element at a time.
- Encoder: a stack of 6 identical layers. Each layer contains: multi-head self-attention; position-wise fully connected feed-forward network.
- Decoder: a stack of 6 identical layers. Each layer also contains sub-layers as encoder with adding a multi-head attention over the output of the encoder stack.

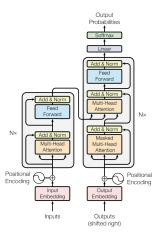


Figure: The Transformer - model architecture

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## Transformer Architecture (2)

#### Attention

• Scaled Dot-Product Attention

$$Attention(Q, K, V) = softmax(\frac{QK^{\intercal}}{\sqrt{d_k}})V$$
(5)

where  $d_k$  is the dimension of query and key vectors

 $\bullet$  Multi-Head Attention: Linearly project queries, keys, and values h times:

$$MultiHead(Q, K, V) = Concat(head_1, ..., head_h)W^O$$
$$head_i = Attention(QW_i^Q, KW_i^K, VW_i^V)$$
(6)

**Positional Encoding** 

$$PE_{(pos,2i)} = sin(pos/10000^{2i/d_{model}})$$

$$PE_{(pos,2i+1)} = cos(pos/10000^{2i/d_{model}})$$
(7)

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- Language Model (e.g., GPT): use Decoder-only architecture
- BERT-style models: use Encoder-only architecture
- T5, BART: use Encoder-Decoder architecture
- The major distinguishing factor for different architectures is the "mask" used by different attention mechanisms in the model

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### Attention Masks (1)

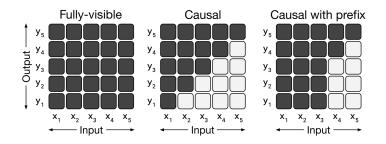


Figure 3: Matrices representing different attention mask patterns. The input and output of the self-attention mechanism are denoted x and y respectively. A dark cell at row i and column j indicates that the self-attention mechanism is allowed to attend to input element j at output timestep i. A light cell indicates that the self-attention mechanism is *not* allowed to attend to the corresponding i and jcombination. Left: A fully-visible mask allows the self-attention mechanism to attend to the full input at every output timestep. Middle: A causal mask prevents the *i*th output element from depending on any input elements from "the future". Right: Causal masking with a prefix allows the self-attention mechanism to use fully-visible masking on a portion of the input sequence.

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# Attention masks (2)

- "Fully-visible" attention masking
  - Allowing a self-attention mechanism to attend to any entry of the input when producing each entry of its output
  - BERT also uses a fully-visible masking pattern and appends a special "classification" token to the input
- "Causal" attention masking
  - ▶ used in the self-attention operations in the Transformer's decoder
  - ▶ When producing the *i<sup>th</sup>* entry of the output sequence, causal masking prevents the model from attending to the *j<sup>th</sup>* entry of the input sequence for *j* > *i*
  - ► During the training the model can't "see into the future" as it produces its output
- Prefix LM
  - ▶ Use fully-visible masking during the prefix portion of the sequence
  - ► This architecture is similar to an encoder-decoder model with parameters shared across the encoder and decoder

## Training Objectives

#### Causal Language Modeling

- The model is trained to predict the next token in the sequence given the previous tokens.
- The input tokens are fed into the model, and the model predicts the probability distribution of the next token
- The loss is calculated based on the model's predictions and the actual target tokens

#### Masked Language Modeling (a.k.a., denoising)

- The model is trained to predict masked tokens within the input sequence. During the preprocessing, a certain percentage of tokens are randomly masked, and the model is trained to predict the original tokens at those masked positions.
- The loss is calculated based on the model's predictions and the actual target tokens (the original tokens masked)

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#### Causal Language Modeling

- **Pros**: models are designed for auto-regressive text generation that helps to generate coherent and contextually documents or responses in chatbot
- **Cons**: Do not explicitly capture bidirectional context and the only generate tokens based on previous ones

#### Masked Language Modeling

- **Pros**: Model can potentially capture bidirectional context that help the model understand the context more effectively
- Cons: Cannot generate text auto-regressively

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### Unifying Language Learning Paradigms (UL2)

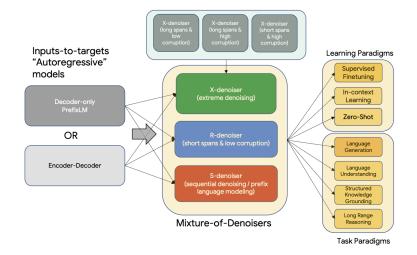


Figure 2: An overview of UL2 pretraining paradigm. UL2 proposes a new pretraining objective that works well on a diverse suite of downstream tasks.

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# What Language Model Architecture and Pre-training Objective work best for zero-shot generalization

- Finding 1<sup>1</sup>: The causal decoder-only models pretrained with a full language modeling objective achieve best zero-shot generalization when evaluated immediately after unsupervised pre-training
- Finding 2: Encoder-decoder models trained with masked language modeling achieve the best zero-shot performance after multitask finetuning.
- Finding 3: Decoder-only models can be efficiently adapted from one architecture/objective prior to the other. Specifically, to obtain both a generative and a multitask model with the smallest total compute budget possible, they recommend starting with a causal decoder-only model, pre-training it with a full language modeling objective, then using non-causal masked language modeling adaptation before taking it through multitask finetuning. <sup>1</sup>https://arxiv.org/pdf/2204.05832

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